INTERSECTION PROPERTIES OF SYSTEMS OF FINITE SETS

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Abstract

Let X be a finite set of cardinality n. If $L = \{l_1, ..., l_r\}$ is a set of nonnegative integers with $l_1 < l_2 < ... < l_r$, and k is a natural number, then by an (n, L, k)-system we mean a collection of k-element subsets of X such that the intersection of any two different sets has cardinality belonging to L. We prove that if \mathscr{A} is an (n, L, k)-system, with $|\mathscr{A}| > cn^{r-1}$ (c = c(k)is a constant depending on k), then

- (i) there exists an l₁-element subset D of X such that D is contained in every member of A,
- (ii) $(l_2 l_1) | (l_3 l_2) | \dots | (l_r l_{r-1}) | (k l_r),$
- (iii) $\prod_{i=1}^{r} (n-l_i)/(k-l_i) \ge |\mathcal{A}|$ (for $n \ge n_0(k)$).

Parts of the results are generalized for the following cases: (a) we consider *t*-wise intersections, where $t \ge 2$; (b) the condition |A| = k is replaced by $|A| \in K$ where K is a set of integers; (c) the intersection condition is replaced by the following: among q+1 different members A_1, \ldots, A_{q+1} there are always two, A_i, A_j , such that $|A_i \cap A_j| \in L$.

We consider some related problems. An open question: let $L' \subset L$; do there exist an (n, L, k)-system of maximal cardinality (\mathscr{A}) and an (n, L', k)-system of maximal cardinality (\mathscr{A}') such that $\mathscr{A} \supset \mathscr{A}'$?

1. Introduction

Throughout this paper lower case latin letters denote integers, capital letters stand for sets, and capital script letters for families of sets.

Let $L = \{l_1, ..., l_r\}$, where $l_1 < l_2 < ... < l_r$, and K be sets of integers. By an (n, L, K)-system we mean a family \mathscr{A} of subsets of a set X, with |X| = n, such that for $A_1, A_2 \in \mathscr{A}$ we have $|A_1|, |A_2| \in K$, $|A_1 \cap A_2| \in L$. If $K = \{k\}$ then the notation (n, L, k)-system is applied, too.

A family $B = \{B_1, B_2, ..., B_c\}$ of sets is called a Δ -system of cardinality c if there exists a set $D \subset B_i$, with i = 1, ..., c, such that the sets $B_1 \setminus D, ..., B_c \setminus D$ are pairwise disjoint. D is called the *kernel* of the Δ -system.

Proc. London Math. Soc. (3) 36 (1978) 369-384 5388.3.36 THEOREM 1 (Erdös and Rado [7]). There exists a function $\varphi_c(k)$ such that any family of $\varphi_c(k)$ distinct k-element sets contains a Δ -system of cardinality c.

An old conjecture of Rado and the second author is that there exists an absolute constant c' such that $\varphi_c(k) < (cc')^k$. The best existing upper bound (of order about $c^k k!$) is due to Spencer [16].

THEOREM 2 (Erdös, Ko, and Rado [8]). If \mathscr{A} is an $(n, \{l, l+1, ..., k-1\}, k)$ system of maximal cardinality, then for $n \ge n_0(k, l)$ there exists a set D of cardinality l such that for every $A \in \mathscr{A}$, $D \subseteq A$ holds. In particular, for $l = 1, n_0(k, l) = 2k + 1$ is the best possible value for $n_0(k, l)$.

(For $l \ge 2$ the best existing upper bound on $n_0(k, l)$ is due to Frankl [10].)

THEOREM 3 (Deza [1]). An $(n, \{l\}, k)$ -system of cardinality more than $k^2 - k + 1$ is a Δ -system.

The object of this paper is to generalize Theorems 2 and 3 for (n, L, K)-systems. In the proofs heavy use is made of Theorem 1.

The next four theorems express properties of (n, L, k)-systems.

Throughout the paper we assume that $n > n_0(k,\varepsilon)$ for $\varepsilon > 0$. Let us set $c(k,L) = \max(k-l_1+1, l_2^2-l_2+1)+\varepsilon$. \mathscr{A} is an (n,L,k)-system.

THEOREM 4. If $|\mathcal{A}| \ge c(k, L) \prod_{i=2}^{r} (n-l_i)/(k-l_i)$ then there exists a set D of cardinality l_1 such that $D \subseteq A$ for every $A \in \mathcal{A}$.

THEOREM 5. If $|\mathscr{A}| \ge k^2 2^{r-1} n^{r-1}$ then

$$(l_2 - l_1) | (l_3 - l_2) | \dots | (l_r - l_{r-1}) | (k - l_r).$$

THEOREM 6.

$$|\mathscr{A}| \leqslant \prod_{i=1}^{r} \frac{n-l_i}{k-l_i}.$$

The following result is a generalization of Theorems 4, 5, and 6 for (n, L, K)-systems. Let $K = \{k_1, ..., k_s\}$, with $k_1 < ... < k_s$. Let us define $K_0 = K \cap \{0, ..., l_1\}, K_i = \{l_i + 1, ..., l_{i+1}\} \cap K$, for i = 1, ..., r-1, and

 $K_r = K \cap \{l_r + 1, \dots, k_s\}.$

Let us set $k_i^* = \min\{k \mid k \in K_i\}$, for i = 0, ..., r.

THEOREM 7. Let \mathcal{A} be an (n, L, K)-system.

(i) If $|\mathcal{A}| > k_s c(k_s, L) \prod_{i=2}^r (n-l_i)/(k^*-l_i)$ then there exists a set D of cardinality l_1 such that $D \subseteq A$ for every $A \in \mathcal{A}$.

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(ii) If $|\mathcal{A}| > k_s^{32^{r-1}n^{r-1}}$ then there exists a $k \in K_r$ such that

$$(l_2 - l_1) \left| (l_3 - l_2) \right| \dots \left| (l_r - l_{r-1}) \right| (k - l_r).$$

(111)

$$|\mathscr{A}| \leqslant \mathop{\textstyle \sum}_{i=0}^{r} \varepsilon_{i} \mathop{\textstyle \prod}_{\substack{j=1\\l_{j} < k_{i}^{*}}}^{r} \frac{n - l_{j}}{k_{i}^{*} - l_{j}}$$

where $\varepsilon_i = 0$ if $K_i = \emptyset$, $\varepsilon_i = 1$ otherwise.

The next theorem is a common generalization of Theorems 4 and 6 and a theorem of Hajnal and Rothschild [11].

THEOREM 8. Let \mathscr{A} be a family of k-element subsets of the n-element set X such that whenever A_1, \ldots, A_{q+1} are q+1 different sets belonging to \mathscr{A} we can find two of them A_i, A_j such that $|A_i \cap A_j| \in L$ $(q \ge 1 \text{ is fixed})$.

(i) There exists a constant c = c(k, q) such that

$$|\mathscr{A}| > (q-1) \prod_{i=1}^r \frac{n-l_i}{k-l_i} + cn^{r-1}$$

implies the existence of sets $D_1, D_2, ..., D_s$ such that for every $A \in \mathcal{A}$ there exists an *i*, with $1 \leq i \leq s$, satisfying $D_i \subset A$, $|D_1| = ... = |D_s| = l_1$. Further, if q_i denotes the maximum number of sets $A_1, ..., A_{q_i}$ such that, for $1 \leq j \leq q_i$, $D_i \subset A_j$ but for $i' \neq i$, $D_i \notin A_j$, and $|A_{j_1} \cap A_{j_2}| \notin L$ for $1 \leq j_1 < j_2 \leq q_i$, then $\sum_{i=1}^s q_i = q$.

(ii)

$$|\mathscr{A}|\leqslant q\prod_{i=1}^r\frac{n-l_i}{k-l_i}+O(n^{r-1})\quad (n>n_0(k,q)).$$

In the next theorem we generalize Theorems 4, 5, and 6 for the case of t-wise intersections.

THEOREM 9. Let \mathscr{A} be a family of k-subsets of X. Suppose that, for any t different members A_1, \ldots, A_t of $\mathscr{A}, |A_1 \cap \ldots \cap A_t| \in L$. Then

(i) there exists a constant c = c(k, t) such that

$$|\mathcal{A}| > cn^{r-1}$$

implies the existence of an l_1 -element set D such that $D \subset A$ for every $A \in \mathcal{A}$,

- (ii) $|\mathcal{A}| > cn^{r-1}$ implies that $(l_2 l_1) | \dots | (l_r l_{r-1}) | (k l_r),$
- (iii) $|\mathcal{A}| \leq (t-1) \prod_{i=1}^{r} (n-l_i)/(k-l_i) \quad (n > n_0(k,t)).$

First versions of Theorems 4, 5, 6, and 7 were announced in [2], the case where |L| = 2 was considered in [4].

2. The proof of Theorems 4, 5, and 6

In the case where r = 1 the statements of the theorems follow from Theorem 3.

Now suppose $r \ge 2$. We apply induction on k. The case where k = 1 is trivial.

Let us first consider the case where $l_1 = 0$. Then the statement of Theorem 4 is evident. Let x be an arbitrary element of X. Let us define $\mathscr{A}_x = \{A \setminus \{x\} | x \in A\}$. Then \mathscr{A}_x is an $(n-1, \{l_2-1, \ldots, l_r-1\}, k-1)$ -system. Hence, by the induction hypothesis,

$$|\mathscr{A}_{x}| \leqslant \prod_{i=2}^{r} \frac{(n-1) - (l_{i}-1)}{(k-1) - (l_{i}-1)} = \prod_{i=2}^{r} \frac{n-l_{i}}{k-l_{i}}.$$
 (1)

Counting the number of pairs (x, A), for $x \in A \in \mathscr{A}$, in two different ways we obtain

$$k|\mathscr{A}| = \sum_{x \in X} |\mathscr{A}_x|.$$
⁽²⁾

From (1) and (2) it follows that

$$|\mathscr{A}| \leqslant \frac{|X|}{k} \prod_{i=2}^r \frac{n-l_i}{k-l_i} = \prod_{i=1}^r \frac{n-l_i}{k-l_i},$$

which proves Theorem 6 for this case.

Now we wish to prove Theorem 5. So we may suppose that

 $|\mathcal{A}| > k^2 2^{r-1} n^{r-1}.$

Let us set $d = k^{2}2^{r-2}n^{r-2}$ and $\mathscr{A}^{0} = \mathscr{A}$. If \mathscr{A}^{j} is defined and there exists an element $x \in X$ such that $0 < |\mathscr{A}^{j}_{x}| \leq d$ then define

$$\mathcal{A}^{j+1} = \mathcal{A}^j \setminus \{A \in \mathcal{A}^j | x \in A\}.$$

After finitely many steps the procedure stops, that is, we obtain a family \mathscr{A}' in which every element of X has either degree 0 or degree more than d, and

$$|\mathscr{A}'| \ge |\mathscr{A}| - nd > k^2 2^{r-2} n^{r-1}.$$

Let X' be the set of elements of X which have non-zero degree in \mathscr{A}' . If $x \in X'$ then \mathscr{A}'_x is an $(n-1, \{l_2-1, \ldots, l_r-1\}, k-1)$ -system, and

$$|\mathscr{A}'_{x}| > d = k^{2} 2^{r-2} n^{r-2},$$

whence by the induction hypothesis there exists a set $D_x \subset X \setminus \{x\}$, with $|D_x| = l_2 - 1$, such that $D_x \subset A$ for every $A \in \mathscr{A}'_x$.

We assert that for any $y \in D_x$, $D_y = (D_x \setminus \{y\}) \cup \{x\}$.

Suppose that for some y it does not hold. As any member A of \mathscr{A}'_x contains y so it has to contain D_y as well and consequently $A \supseteq ((D_x \cup D_y) \setminus \{x\})$. $|(D_x \cup D_y) \setminus \{x\}| \ge l_2$, which implies that any two elements of \mathscr{A}'_x intersect in at least l_2 elements. Hence \mathscr{A}'_x is an

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 $(n-1, \{l_3-1, \dots, l_r-1\}, k-1)$ -system. So Theorem 6 implies that

$$|\mathscr{A}'_x| \leqslant \prod_{i=3}^r \frac{n-l_i}{k-l_i} \leqslant n^{r-2},$$

a contradiction. So we have proved that, for $x \neq y$, $D_x \cup \{x\}$ and $D_y \cup \{y\}$ coincide or they are disjoint.

Consequently the sets $D_x \cup \{x\}$, for $x \in X'$, form a partition of the set X' such that any member A of \mathscr{A}' is the union of some of them. Hence $l_2 | k$. We assert that for any $3 \leq i \leq r$ there are two sets $A, B \in \mathscr{A}'$ such that $|A \cap B| = l_i$. Indeed, otherwise \mathscr{A}' is an $(n, \{l_1, \ldots, l_{i-1}, l_{i+1}, \ldots, l_r\}, k)$ -system, so by Theorem 6

$$|\mathscr{A}'| \leqslant \prod_{j \neq i} \frac{n - l_j}{k - l_j} \leqslant n^{r-1},$$

a contradiction. Now if $|A \cap B| = l_i$ then that $l_2|l_i$ follows from the fact that A and B, whence $A \cap B$ too, are the unions of some of the pairwise disjoint l_2 -element sets $D_x \cup \{x\}$. In particular it follows that

$$l_2 = (l_2 - l_1) \left| (l_3 - l_2) \right|$$

Applying the induction hypothesis to \mathscr{A}'_x we obtain that

 $((l_3-1)-(l_2-1)) \left| \left((l_4-1)-(l_3-1) \right) \right| \dots \left| \left((k-1)-(l_r-1) \right),$

that is, $(l_3 - l_2) |(l_4 - l_3)| \dots |(k - l_r)$, which finishes the proof for the case where $l_1 = 0$.

Now we need a lemma.

LEMMA 1. (i) Let the sets $A_1, ..., A_c$ form a Δ -system with kernel D, where $|D| = l_1$, and $c \ge k - l_1 + 2$. Then for any set B, with $|B| \le k$, $|B \cap A_i| \ge l_1$ for i = 1, ..., c implies $B \supset D$.

(ii) Let the sets $F_i^1, F_i^2, \ldots, F_i^t$ form a Δ -system with kernel E_i , where $|F_i^j| = k$ for $i = 1, \ldots, s, j = 1, \ldots, t$.

Suppose that the sets E_i form a Δ -system with kernel D, where |D| = l, and that t > (s-1)(k-1). Then there are indices $1 \leq j_i \leq t$ for i = 1, ..., ssuch that the sets $F_i^{j_i}$ form a Δ -system with kernel D.

Proof. Let us set $|B \cap D| = l' \leq l_1$. Then $|B \cap A_i| \geq l_1$ implies that $|B \cap (A_i \setminus D)| \geq l_1 - l'$ for i = 1, ..., c. As the sets $A_i \setminus D$ are pairwise disjoint we obtain

$$k = |B| \ge l' + c(l_1 - l') \ge l' + (k - l_1 + 2)(l_1 - l')$$

or equivalently $(k-l_1) \ge (k-l_1+1)(l_1-l')$, which yields $l_1 = l'$ as desired.

Now we prove (ii). Suppose that for i = 1, ..., s' we have chosen indices $1 \leq j_i \leq t$ such that the sets $F_i^{j_i}$ form a Δ -system with kernel D. Now we wish to choose the index $j = j_{s+1}$ in such a way that $F_{s'}^{j} \cap F_i^{j_i} = D$ for i = 1, ..., s' and $F_{s'+1}^j \cap E_i = D$ for i = s'+2, ..., s. An index j does not satisfy the conditions if and only if $F_{s'+1}^j \setminus D$ is not disjoint from the set

$$H_{s'} = \Bigl(\bigcup_{i\leqslant s'}(F_i^j\backslash D)\Bigr) \cup \Bigl(\bigcup_{i>s'+1}(E_i\backslash D)\Bigr).$$

As the sets $F_{s'+1}^j \setminus E_{s'+1}$ are pairwise disjoint, for j = 1, ..., t, and $|H_{s'}| = s'(k-l) + \sum_{i=s'+2}^{s} |\otimes E_i \setminus D| \leq (s-1)(k-1) < t$, the appropriate choice of $F_{s'+1}^j$ is always possible, which proves the lemma.

Now we turn to the proof of Theorem 4 for the case where $l_1 > 0$. If we can find $k-l_1+2$ sets A_1, \ldots, A_{k-l_1+2} belonging to \mathscr{A} which form a Δ -system with kernel D, where $|D| = l_1$, then it follows that $D \subseteq A$ for every $A \in \mathscr{A}$, since $|A \cap A_i| \ge l_1$, for $i = 1, \ldots, k-l_1+2$, and from the lemma.

So we may assume that such a Δ -system does not exist. Now let us choose a set D_1^2 of cardinality l_2 such that D_1^2 is the kernel of a Δ -system formed by k^2 members of \mathscr{A} $(A_1^{2,1}, \ldots, A_1^{2,k^2})$, and let us define $\mathscr{A}_1^2 = \{A \in \mathscr{A} \mid D_1^2 \notin A\}.$

Now we choose a set D_2^2 of cardinality l_2 which is the kernel of a Δ -system formed by k^2 different members of \mathscr{A}_1^2 and define $\mathscr{A}_2^2 = \{A \in \mathscr{A}_1^2 | D_2^2 \notin A\}$, and so on. After a finite number of steps, say q_2 , we cannot find a set $D_{q_2+1}^2$ of cardinality l_2 which is the kernel of a Δ -system formed by k^2 different members of $\mathscr{A}_{q_2}^2$.

Now we choose a set D_1^3 of cardinality l_3 which is the kernel of a Δ -system formed by k^3 different elements of $\mathscr{A}_{q_2}^2$ and define

$$\mathscr{A}_1^3 = \{ A \in \mathscr{A}_{q_2}^2 \mid D_1^3 \not \subseteq A \};$$

after say q_3 steps we cannot find such a $D^3_{q_3+1}$. Then we look for an l_4 element set which is the kernel of a Δ -system formed by k^4 members of $\mathscr{A}^3_{q_3}$, and so on. At last we obtain a family $\mathscr{A}^r_{q_r}$ which does not contain any Δ -system with kernel D_j , where $|D_j| = l_j$, and of cardinality k^j (j = 1, ..., r). As $\mathscr{A}^r_{q_r}$ is an (n, L, k)-system it means that $\mathscr{A}^r_{q_r}$ does not contain any Δ -system of cardinality at least k^r , implying that

$$|\mathscr{A}^r_{q_r}| < \varphi_k r(k). \tag{3}$$

Now we assert that

$$q_i < \varphi_{k^{j-1}}(l_i) \quad (j = 3, ..., r)$$
 (4)

and that

$$q_2 \leqslant c(k,L). \tag{5}$$

If it is not true then we could find among the kernels of cardinality l_j a Δ -system of cardinality k^i and kernel D_i , with $|D_i| = l_i$, for some $1 \leq i < j$, or, for j = 2, a Δ -system of cardinality $k - l_1 + 2$ and with a kernel D_1 of cardinality l_1 .

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Applying Lemma 1 we obtain that, for the corresponding j, $\mathscr{A}_{q_{j-1}}^{j-1}$ contains a Δ -system consisting of k^i sets and having a kernel D_i , with $|D_i| = l_i$, for $1 \leq i < j$, or for j = 2 that \mathscr{A} contains a Δ -system of cardinality $k - l_1 + 2$ and with kernel D_1 , with $|D_1| = l_1$.

The first possibility contradicts the choice of q_{j-1} while the second one is contrary to our assumptions. So (4) and (5) are proved.

If $1 \leq u \leq q_j$ then define $\mathscr{A}(j, u) = \{A \setminus D_u^j | A \in \mathscr{A}, D_u^j \subseteq A\}$. Then $\mathscr{A}(j, u)$ is an $(n - l_j, \{0, l_{j+1} - l_j, \dots, l_r - l_j\}, k - l_j)$ -system.

Hence by the induction hypothesis

$$|\mathscr{A}(j,u)|\leqslant \prod_{i=j}^r \frac{(n-l_j)-(l_i-l_j)}{(k-l_j)-(l_i-l_j)}=\prod_{i=j}^r \frac{n-l_i}{k-l_i}.$$

Consequently,

$$|\mathscr{A}_{q_{j-1}}^{j-1} \setminus \mathscr{A}_{q_j}^j| \leqslant q_j \prod_{i=j}^r \frac{n-l_i}{k-l_i} \tag{6}$$

for j = 2, ..., r, where $\mathscr{A}_{q_1}^1 = \mathscr{A}$. From (3), (4), (5), and (6) we obtain

$$|\mathscr{A}| = \sum_{j=2}^{r} |\mathscr{A}_{q_{j}}^{j-1} \setminus \mathscr{A}_{q_{j}}^{j}| + |\mathscr{A}_{q_{r}}^{r}|$$

$$\leq (l-1) \frac{r}{r} n - l_{i} + \frac{r+1}{r} \qquad (l-1) \frac{r}{r} n - l_{i} \qquad (l-1) \frac{r}{r} n - l_{i$$

$$\leq c(k,L) \prod_{j=2}^{r} \frac{n-l_{i}}{k-l_{i}} + \sum_{j=3}^{r+1} \varphi_{k^{j-1}}(l_{j}) \prod_{i=j}^{r} \frac{n-l_{i}}{k-l_{i}}.$$
(7)

In (7) we use the conventions that $l_{r+1} = k$ and that the empty product is 1.

From (7) we obtain

$$|\mathscr{A}| \leq (c(k,L) + o(1)) \prod_{i=2}^{r} \frac{n - l_i}{k - l_i},$$

which is a contradiction as $n > n_0(k)$. Now the proof of Theorem 4 is finished. So in proving Theorems 5 and 6 we may suppose that there exists a set D, with $|D| = l_1$, such that $D \subseteq A$ for every $A \in \mathcal{A}$.

Let us define $\mathscr{A}(D) = \{A \setminus D \mid A \in \mathscr{A}\}$. It follows then that $\mathscr{A}(D)$ is an $(n-l_1, \{0, l_2-l_1, \dots, l_r-l_1\}, k-l_1)$ -system. We know that $k-l_1 < k$ as $l_1 > 0$. Hence both Theorem 5 and Theorem 6 follow from the induction hypothesis.

Equality in the estimation of Theorem 6 (briefly 'equality') is realizable by the hyperplane-family of any perfect matroid-design (cf. [14]) of rank |L|+1, such that for any *j*-flat F^j we have $|F^{|L|}| = k$, $|F^{|L|+1}| = n$, $|F^j| = l_{j+1}, 0 \le j < |L|$. For example, in the case when *L* is an arithmetic progression with difference $d = l_2 - l_1$, we may obtain equality by an $(l_2 - l_1)$ -inflation of an S(|L|, k/d, n/d) if this Steiner-system exists. The affine and projective geometries provide other examples when equality is possible. (The collection of all the *j*-flats F^j with $|F^j| = k_i^*$ for some $0 \le i < |L|$ gives equality in the estimation (iii) of Theorem 7.)

In the case where $L = \{0, 1, 3\}$ the equality implies the existence of an S(2, 3, k), whence 2 | (k-1), 6 | (k-1)k. In the first case, k = 5, equality is not possible, moreover it can be proved that no (n, L, 5)-system has more than $2n^{11/4} = o(n^{|L|})$ elements though (1-0)|(3-1)|(5-3). The collection of the 2-dimensional subspaces of PG(s, 2), AG(s, 3), respectively, provide equality for the cases where k = 7, 9. The first open problem is to decide whether there are infinitely many values of n for which we can have equality in the case where k = 13.

REMARK 1 (on Theorem 4). Without changing the argument we can prove the following: if $k' \ge k$ and

$$|\mathscr{A}| > c(k',L) \prod_{j=2}^r \frac{n-l_i}{k-l_i}$$

then there are sets $A_1, ..., A_{k'-l_1+2} \in \mathscr{A}$ which form a Δ -system with a kernel of cardinality l_1 .

REMARK 2 (on Theorem 5). For the case where $L = \{0, l\}$ in [4] it was shown that $|\mathcal{A}| > n$ implies l | k and this estimation is the best possible (this is a generalization of the Fisher-Majumdar inequality [15]).

REMARK 3 (on Theorem 6). In [16] it was shown by Ray-Chaudhuri and Wilson that $|\mathscr{A}| \leq \binom{n}{|L|}$ for any (n, L, k)-system \mathscr{A} (this is another generalization of the Fisher-Majumdar inequality [15]). This estimation does not depend on k, but it is weaker than Theorem 6 for $|\mathscr{A}| > C(k)n^{|L|-1}$. Its proof (using, a propos, linear independence of certain systems of vectors) will be interesting to extend for the cases of Theorems 7, 8, and 9.

3. The proof of Theorem 7

We apply induction on r. If \mathscr{A}' denotes $\{A \in \mathscr{A} \mid |A| > l_r\}$ then it follows from the induction hypothesis that

$$|\mathscr{A} \setminus \mathscr{A}'| \leqslant \sum_{i=0}^{r-1} \varepsilon_i \prod_{j=1}^i \frac{n-l_j}{k_i^* - l_j},$$

when $r \ge 2$, while the same inequality holds trivially for r = 1 as well. Hence

$$|\mathscr{A} \setminus \mathscr{A}'| \geqslant |\mathscr{A}| - r \prod_{j=1}^r \frac{n - l_j}{k_r^* - l_j}.$$

First we prove (i). As $|K_r| \leq k_s - l_r \leq k_s - r$ and $c(k_s, L) \geq 1$, there exists a $k \in K_r$ such that

$$|\{A \in \mathscr{A} \mid |A| = k\} = \mathscr{A}(k)| > c(k_s, L) \prod_{i=2}^r \frac{n-l_i}{k_r^* - l_i} \ge c(k_s, L) \prod_{i=2}^r \frac{n-l_i}{k - l_i}.$$

Hence by Remark 1 there exist $k_s - l_1 + 2$ elements $A_1, \ldots, A_{k_s - l_1 + 2} \in \mathscr{A}(k)$ such that for $D = A_1 \cap A_2$, $|D| = l_1$ and the sets $A_1 \setminus D, \ldots, A_{k_s - l_1 + 2} \setminus D$ are pairwise disjoint. So by Lemma 1, for every $A \in \mathscr{A}$, $A \supset D$ and hence (i) holds.

Now let us prove (ii). Now we can find a $k \in K_r$ for which

$$|\mathscr{A}(k)| > k_s^2 2^{r-1} n^{r-1}$$

holds. As $\mathscr{A}(k)$ is an (n, L, k)-system, Theorem 5 implies that (ii) is true.

To prove (iii) observe first that, for $x \in X \setminus D$, $\mathscr{A}'_x = \{A \setminus D \mid x \in A \in \mathscr{A}'\}$ satisfies the hypothesis of the theorem with

$$n'=n-l_1, \quad K'=\{k-l_1|\ k\in K_r\}, \quad L=\{l_2-l_1,...,l_r-l_1\},$$

so by the induction hypothesis it follows that

$$|\mathscr{A}'_x| \leq \prod_{j=2}^r \frac{n-l_j}{k_r^* - l_j}.$$

Counting the number of pairs (x, A), where $x \in A$, $x \in X \setminus D$, and $A \in \mathscr{A}'$, in two different ways we obtain

$$\sum_{x \in X \setminus D} |\mathscr{A}'_x| \ge |\mathscr{A}'| (k_r^* - l_1)$$

and consequently

$$(n-l_1)\prod_{j=2}^r\frac{n-l_j}{k_r^*-l_j} \ge |\mathscr{A}'| (k_r^*-l_1),$$

and (iii) follows.

From the estimation (iii) of Theorem 7 it follows that in the case where L = [l, k-1], K = [g, h], and $n > n_0(k)$, any (n, L, K)-system satisfies

$$|\mathscr{A}| \leq \sum_{i=g}^k \binom{n-l}{i-l},$$

which generalizes Theorem 2 of Hilton [13] for the case where l > 1.

4. The proof of Theorem 8

We apply double induction on k, q. Let us first consider the case where $l_1 = 0$. In this case (i) holds automatically. To prove (ii) observe that if we define $\mathscr{A}_x = \{A \setminus \{x\} \mid A \in \mathscr{A}, x \in A\}$, then \mathscr{A}_x satisfies the hypothesis of the theorem with n' = n-1, $L' = \{l_2-1, \ldots, l_r-1\}$, k' = k-1, and q' = q. Hence by the induction hypothesis $|\mathscr{A}_x| \leq q \prod_{i=2}^r (n-l_i)/(k-l_i)$, and this

equality holds for the case where $L = \{l_1\}$ too. As $|\mathscr{A}| - k = \sum_{x \in X} |\mathscr{A}_x|$, it follows that $|\mathscr{A}| \leq q \prod_{i=1}^r (n-l_i)/(k-l_i)$.

Now suppose that $l_1 > 0$. Let us choose a set D_1 , with $|D_1| = l_1$, such that there exist $A_1^1, \ldots, A_{kq}^1 \in \mathscr{A}$ satisfying $A_1^1 \cap A_j^1 = D_1$ for $1 \leq i < j \leq kq$.

Then let us set $\mathscr{A}^1 = \{A \in \mathscr{A} \mid A \supseteq D_1\}$. Now we choose D_2 in the same way and define \mathscr{A}^2 , and so on. After a finite number, say p, of steps \mathscr{A}^p does not contain any Δ -system of cardinality kq and with kernel D, where $|D| = l_1$. We assert that $p \leq q$. Otherwise we have at least $q + 1 \Delta$ -systems $A_1^i, A_2^i, \ldots, A_{kq}^i$ with kernels D_i , where $|D_i| = l_1$, for $i = 1, \ldots, q+1$. As the sets $A_1^1 \setminus D_1, \ldots, A_{kq}^i \setminus D_1$ are pairwise disjoint and

$$\left|\bigcup_{i=2}^{q+1} D_i\right| \leqslant l_1 q < kq,$$

we can find an index j_1 such that $(A_{j_1}^1 \setminus D_1) \cap D_i = \emptyset$ for i = 1, ..., q+1.

If we have chosen $A_{j_i}^1, \ldots, A_{j_s}^s$ then we want to choose $A_{j_{s+1}}^{s+1}$ in such a way that $(A_{j_{s+1}}^{s+1} \setminus D_{s+1}) \cap (A_{j_i}^i \setminus D_i) = \emptyset = (A_{j_{s+1}}^{s+1} \setminus D_{s+1}) \cap D_i$, for $1 \leq i \leq s$, $s+2 \leq i' \leq q+1$. As

$$\left|\bigcup_{i=1}^s \left(A^i_{j_i} \backslash D_i\right)\right| + \left|\bigcup_{i'=s+2}^{q+1} D_{i'}\right| \leqslant s(k-l_1) + (q-s)l_1 < qk$$

and the sets $A_j^{s+1} \setminus D_{s+1}$ are pairwise disjoint, for j = 1, ..., kq, such a choice of $A_{j_{s+1}}^{s+1}$ is possible. But if $1 \leq s < s' \leq q+1$, then

$$A_{j_s}^s \cap A_{j_{s'}}^{s'} \subseteq D_s \cap D_{s'},$$
$$|A_{j_s}^s \cap A_{j_s'}^{s'}| \notin L,$$

which implies that

a contradiction.

Now we want to show that $|\mathscr{A}^p| = O(n^{r-1})$. We proceed in essentially the same way as in the proof of Theorem 4 for the case where $l_1 > 0$, so the proof will only be sketched.

Let us choose D_1^2 , with $|D_1^2| = l_2$, in such a way that there exist $A_1, \ldots, A_{k^2 a}$ belonging to \mathscr{A}^p which form a Δ -system with kernel D_1^2 .

Now define $\mathscr{A}_1^2 = \{A \in \mathscr{A}^p \mid A \Rightarrow D_1^2\}$. Then choose D_2^2 , and so on. When there are no more l_2 -element sets which are kernels of a Δ -system of cardinality qk^2 then try to find an l_3 -set which is the kernel of a Δ -system of cardinality qk^3 , and so on.

By Lemma 1, among the Δ -systems of kernel l_i there are no qk^{i-1} which form a Δ -system, whence their number is less than $\varphi_{qk^{i-1}}(l_i)$. If D'_i is an l_i -element set, then $\mathscr{A}_{D_i'} = \{A \setminus D'_i | A \in \mathscr{A}, D'_i \subset A\}$ satisfies the hypothesis of the theorem with $n' = n - l_i, k' = k - l_i, L' = \{0, l_{i+1} - l_i, \dots, l_r - l_i\}$, and q' = q. The induction hypothesis yields

$$|\mathscr{A}_{D_{i'}}| \leqslant (q+1) \prod_{j=i}^{r} \frac{n-l_j}{k-l_j} = O(n^{r-1}) \quad \text{for } i \geqslant 2,$$

from which it follows that $|\mathscr{A}^p| = O(n^{r-1})$.

If E is a set of cardinality more than l_1 then the family

$$\mathscr{A}_E = \{ A \in \mathscr{A} \mid E \subseteq A \}$$

satisfies the assumptions of the theorem with n' = n - |E|, k' = k - |E|, $L' = \{l_2 - |E|, ..., l_r - |E|\} \cap \{0, 1, ..., l_r\}$, and q' = q.

Hence it follows by induction that $|\mathscr{A}_E| = O(n^{r-1})$. Let us set $\mathscr{B}_i = \{A \in \mathscr{A} \mid D_i \subset A, D_j \notin A \text{ for } j \neq i\}$. Now it follows that

$$\left|\mathscr{A} \setminus \bigcup_{j=1}^{p} \mathscr{B}_{j}\right| = O(n^{r-1})$$

as this family can be written as the union of the families $\mathscr{A}_{D_{1,2}}$, where $D_{1,2} = D_{i_1} \cup D_{i_2}$, for $1 \leq i_1 < i_2 \leq p$, and $|D_{i_1} \cup D_{i_2}| > l_1$.

Let c' be a sufficiently large constant and let us set

$$q_i - 1 = \left[\{ |\mathscr{B}_i| - c'n^{r-1} \} \Big/ \left\{ \prod_{j=1}^r \frac{n - l_j}{k - l_j} + c_0(k, q) n^{r-1} \right\} \right]$$

([x]is the greatest integer not exceeding x).

As $|\mathscr{A}| \leq |\mathscr{A}^p| + |\mathscr{A} \setminus \bigcup_{j=1}^p \mathscr{B}_j| + \sum_{j=1}^p |\mathscr{B}_j|$, it follows for $c > c_0(c', k, q)$ that $\sum_{i=1}^p q_i \geq q$. Let q'_i denote the greatest integer such that there exist $A_1^i, \ldots, A_{q'}^i \in \mathscr{B}_i$ satisfying $|A_{j_1}^i \cap A_{j_2}^i| \notin L$ for $1 \leq j_1 < j_2 \leq q'_i$.

As $\overline{\mathscr{B}}_i = \{B \setminus D_i | B \in \mathscr{B}_i\}$ satisfies the assumptions of the theorem with $n' = n - l_1, \ k' = k - l_1, \ L' = \{0, l_2 - l_1, \dots, l_r - l_1\}$, and q' = q, by induction we obtain $q'_i \ge q_i$. If $q'_i = q_i$ for $i = 1, \dots, p$ and $\sum_{i=1}^p q_i = q$ then we are done. So we may suppose that either $\sum q_i > q$ or, for some $1 \le j \le p$, $q'_j > q_j$. In the latter case we may assume that $q'_1 > q_1$. Hence in any case $q'_1 + \sum_{j=2}^p q_j > q$.

Let us choose q'_1 sets $A_1, \ldots, A_{q_1'} \in \mathscr{B}_1$ such that $|A_{j_1} \cap A_{j_2}| \notin L$ for $1 \leq j_1 < j_2 \leq q'_1$. Suppose that $A_{q_1'+1}, \ldots, A_{q_1'+q_2+\ldots+q_{l-1}}$ are defined already. Let us set

$$\begin{split} \mathscr{B}'_i &= \mathscr{B}_i \backslash \{B \in \mathscr{B}_i | \text{ there exists } j, \\ 1 &\leq j \leq q'_1 + q_2 + \ldots + q_{i-1}, \text{ such that } |B \cap A_j| \geq l_1 \}. \end{split}$$

It can be seen as above that $|\mathscr{B}'_i| = |\mathscr{B}_i| + O(n^{r-1})$. Hence by the induction hypothesis we can find q_i sets

$$A_{q_1'+q_2+\ldots+q_{i-1}+1}, \ldots, A_{q_1'+q_2+\ldots+q_{i-1}+q_i}$$

such that the cardinality of the intersection of any two different sets

among them does not belong to L. Moreover, if

$$1 \leqslant j_1 \leqslant q_1' + q_2 + \ldots + q_{i-1} < j_2 \leqslant q_1' + q_2 + \ldots + q_i$$

then $|A_{j_1} \cap A_{j_2}| < l_1$, implying that $|A_{j_1} \cap A_{j_2}| \notin L$. Finally we obtain $q'_1 + \sum_{i=2}^p q_i > q$ sets $A_1, \ldots, A_{q_1'+q_2+\ldots+q_p}$ such that $|A_{j_1} \cap A_{j_2}| \notin L$ for $1 \leq j_1 < j_2 \leq q+1$, a contradiction. So necessarily $q'_i = q_i, q = \sum_{i=1}^p q_i$, and by the induction hypothesis

$$|\mathcal{B}_i| = |\bar{\mathcal{B}}_i| \leqslant q_i \prod_{j=1}^r \frac{n-l_j}{k-l_j} + c_0(k-l_1,q_i)n^{r-1},$$

yielding

$$|\mathscr{A}|\leqslant q\prod_{j=1}^r\frac{n-l_j}{k-l_j}+c_0(k,q)n^{r-1}$$

for an appropriate choice of $c_0(k,q)$, which proves (ii).

To finish the proof of (i) we have to show that $\mathscr{A}^p = \emptyset$. Suppose it is not the case and let $A_0 \in \mathscr{A}^p$. We define A_i recurrently. If, for some $i > 0, A_0, A_1, \dots, A_{q_1}, A_{q_1+1}, \dots, A_{q_1+\dots+q_{i-1}}$ are defined then first define

$$\begin{split} \mathscr{B}'_i &= \mathscr{B}_i \backslash \{B \in \mathscr{B}_i | \text{ there exists } j, \\ 0 &\leqslant j \leqslant q_1 + \ldots + q_{i-1}, \text{ such that } |B \cap A_j| \geqslant l_1 \}. \end{split}$$

Then $|\mathscr{B}'_i| = |\mathscr{B}_i| + O(n^{r-1})$. So by the induction hypothesis we can define

 $A_{q_1+q_2+\ldots+q_{i-1}+1},\ldots,A_{q_1+\ldots+q_{i-1}+q_i}\in \mathscr{B}'_i$

such that, for $q_1 + \ldots + q_{i-1} + 1 \leq j_1 < j_2 \leq q_1 + \ldots + q_i$, $|A_{j_1} \cap A_{j_2}| \notin L$. But, as $\sum_{i=1}^p q_i = q$, this means that in the end we find q+1 sets $A_0, \ldots, A_q \in \mathscr{A}$ such that $|A_{j_1} \cap A_{j_2}| \notin L$ for $0 \leq j_1 < j_2 \leq q$, and this final contradiction concludes the proof of the theorem.

REMARK 4. We conjecture that the assumptions of Theorem 8 imply that

$$|\mathscr{A}| \leqslant q \prod_{i=1}^{r} \frac{n-l_i}{k-l_i},$$

that is, we may omit the last term in (ii). If this conjecture is true then it is the best possible in certain cases.

Let k > 2r and $X = \{1, ..., n\}$. Let $\varpi_1, ..., \varpi_q$ be q random permutations of X and let \mathscr{A} be an (n, L, k)-system of cardinality $\prod_{i=1}^r (n-l_i)/(k-l_i)$ if such a system exists. If $i \in X$ then $\varpi(i)$ is the image of i by ϖ . Further, set $\varpi(A) = \{\varpi(a) \mid a \in A\}$ for $A \subset X$ and $\varpi(\mathscr{A}) = \{\varpi(A) \mid A \in \mathscr{A}\}$. Then $\varpi_1(\mathscr{A}), ..., \varpi_q(\mathscr{A})$ are (n, L, k)-systems, and if $n > n_0(\varepsilon)$ it can be easily seen that they are pairwise disjoint with probability not less than $1 - \varepsilon$. So for

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an appropriate choice of $\varpi_1, \ldots, \varpi_q$ the family

$$\mathscr{B} = \varpi_1(\mathscr{A}) \cup \varpi_2(\mathscr{A}) \cup \ldots \cup \varpi_q(\mathscr{A})$$

satisfies the assumptions of Theorem 8 and has cardinality

$$q\prod_{i=1}^r \frac{n-l_i}{k-l_i}.$$

REMARK 5. In the case where $L = \{l_1, l_1 + 1, ..., k-1\}$ Theorem 8 yields that for a system \mathscr{A} , of maximum cardinality there are q different l_1 -sets $D_1, ..., D_q$ such that every element of \mathscr{A} contains at least one of the D_i 's. The maximality of \mathscr{A} implies that $\mathscr{A} = \{A \subseteq X \mid \text{there exists } i, 1 \leq i \leq q,$ such that $D_i \subseteq A\}$, and the sets $D_1, ..., D_q$ are pairwise disjoint, that is, Theorem 8 is indeed a generalization of the Hajnal-Rothschild theorem [11].

5. The proof of Theorem 9

We proceed in essentially the same way as with the proof of Theorems 4, 5, and 6. Therefore the proof is only briefly sketched. We apply induction on k; the case where k = 1 is trivial.

(a) $l_1 = 0$. In this case (i) holds automatically with $D = \emptyset$. In proving (ii) we may suppose that $r \ge 2$ as otherwise we have nothing to prove. Choosing the constant c(k, t) in such a way that it satisfies

$$c(k,t) \ge 2c(k-1,t)$$

we may, as in the proof of Theorem 5, successively omit the elements of X which are contained in at most $c(k-1,t)n^{r-2}$ members of \mathscr{A} . Finally, we obtain a family \mathscr{A}' which consists of subsets of a set $X' \subseteq X$, where every element of X' has degree greater than $c(k-1,t)n^{r-2}$ and $|\mathscr{A}'| > c(k-1,t)n^{r-1}$.

Now using the induction hypothesis we obtain that for every $x \in X'$ there exists a set D_x such that $|D_x| = l_2 - 1$, for $x \notin D_x$, and $A \in \mathscr{A}'$, for $x \in A'$ imply $D_x \subseteq A$. It follows, as in the proof of Theorem 5, that the sets $\{x\} \cup D_x$ form a partition of X' and, by the induction hypothesis,

$$|\mathscr{A}'| > c(k-1,t)n^{r-1}$$

implies that for any $l \in L$ there exist $A_1, \ldots, A_l \in \mathscr{A}'$ such that

$$|A_1 \cap \ldots \cap A_l| = l.$$

But $A_1 \cap \ldots \cap A_l$ is the disjoint union of some of the l_2 -element sets $x \cup D_x$, and it follows that $l_2 | l_i$ and $l_2 | k$. The property $l_3 | l_4 | \ldots | l_r | k$ follows from the induction hypothesis applied to one of the families

$$\mathscr{A}'_x = \{A \setminus x \mid x \in A \in \mathscr{A}'\}, \ \ ext{for} \ x \in X'.$$

Now we prove (iii). Let $x \in X$. If r > 1 then we can use the induction hypothesis for the family $\mathscr{A}_x = \{A \setminus x \mid x \in A \in \mathscr{A}\}$ and obtain

$$|\mathscr{A}_x| \leqslant (t-1) \prod_{i=2}^r \frac{n-l_i}{k-l_i}.$$

If r = 1, that is, $L = \{0\}$, then it is obvious that $|\mathscr{A}_x| \leq t-1$. Counting the number of the incident pairs (x, A), where $x \in A \in \mathscr{A}$, in two different ways we obtain that $|\mathscr{A}_x| = k |\mathscr{A}|$, yielding

$$|\mathscr{A}| \leq (t-1) \prod_{i=1}^{r} \frac{n-l_i}{k-l_i},$$

as desired.

(b) $l_1 > 0$. First we prove (i). If there are k+t sets $A_1, \ldots, A_{k+t} \in \mathscr{A}$ which form a Δ -system with kernel D, where $|D| \leq l_1$, then by the assumptions of the theorem $|D| = l_1$ and it follows that $D \subset A$ for every $A \in \mathscr{A}$. So, in the case where r = 1, the assertion follows from Theorem 1 for $c = \varphi_{t+k}(k)$.

Now we do the same thing as in the proof of Theorem 3. We select all the l_2 -element subsets of X which are kernels of a Δ -system of cardinality $(k+t)^2$, consisting of members of \mathscr{A} .

As we may suppose that no (k+t)-element Δ -system with an l_1 -element kernel exists, Lemma 1 yields that there are at most $\varphi_{k+l}(l_2)$ such l_2 -element sets. Then we omit all the sets containing some of these l_2 -element sets and look for Δ -systems of cardinality $(k+t)^3$ and with a kernel of cardinality l_3 , and so on.

Finally, using the fact that by the induction assumption a given l_i -element subset of X is contained in at most $(t-1) \prod_{j=i}^{r} (n-l_j)/(k-l_j)$ members of \mathscr{A} , we obtain that

$$\varphi_{k+l}(l_2) = (t-1) \prod_{j=2}^{r} \frac{n-l_j}{k-l_j} + O(n^{r-2}),$$

which is a contradiction, for c sufficiently large.

To finish the proof of (ii) and (iii) it is sufficient to apply the induction hypothesis to the system $\mathscr{A}_D = \{A \setminus D \mid A \in \mathscr{A}\}.$

REMARK 6. It is possible to prove Theorem 9 when the condition $|A_i| = k$ is replaced by $|A_1 \cap \ldots \cap A_{t-1}| \leq k$ for any t-1 different members of \mathscr{A} .

REMARK 7. Let us introduce the following two functions:

$$\begin{split} f_{k,l}(n) &= \max\{|\mathscr{A}| \mid \mathscr{A} \text{ satisfies the assumptions of the theorem} \\ & \text{with } L = \{l\}, \text{ and } |\bigcap_{\mathcal{A} \in \mathscr{A}} \mathcal{A}| < l\}; \end{split}$$

 $g_{k,l}(n) = \max\{|\mathscr{A}| | \mathscr{A} \text{ satisfies the assumptions of the theorem}$ with $L = \{0, l\}$ and $l \not\prec k\}.$ We know only that $f_{k,l}(n) \leq c_k$ $(c_k = k^2 - k + 1$ in the case where t = 2) and $g_{k,l}(n) \leq c'_k n$ $(c'_k = 1$ in the case where t = 2), where c_k, c'_k are constants depending only on k.

REMARK 8. It is proved in [9] that in the case where $L = \{1, 2, ..., k-1\}$ (iii) holds already for $k \ge (t-1)t^{-1}n$. It would be interesting to obtain better bounds for the general case as well.

6. Concluding remarks

(1) Let $L' \subset L$. Is it then true that there exist an (n, L, k)-system \mathscr{A} and an (n, L', k)-system \mathscr{A}' , both of maximum cardinality, such that $\mathscr{A}' \subseteq \mathscr{A}(n > n_0(k))$? It is easy to prove that this is true whenever $L = \{l_1, l_1 + 1, \dots, k-1\}$. In the case where $L = \{0, 2, 3, \dots, k-1\}$ and $L' = \{2, 3, \dots, k-1\}$ this is equivalent to a conjecture of Sós and the second author stating that for $k \ge 4$ an $(n, \{0, 2, 3, \dots, k-1\}, k)$ -system has cardinality at most $\binom{n-2}{k-2}$. In the case where k = 3 it is not true, which shows that the answer is negative in the case where $L' = \{2\}$ and $L = \{0, 2\}$.

(2) In the case where $L = \{1, 2, ..., k-1\}$ a theorem of Hilton and Milner [12] gives that Theorem 4 holds already for

$$|\mathscr{A}| \geqslant \binom{n-1}{k-1} - \binom{n-k-1}{k-1} + 1,$$

and this bound is the best possible. It would be interesting to obtain bestpossible bounds in the general case, too. The third author can prove that in the case where $L = \{l, l+1, ..., k-1\}$ the optimal bound is

$$\binom{n-l}{k-l} - \binom{n-k-1}{k-l} + l \quad \text{for} \quad k > k_0(l), \ n > n_0(k).$$

(3) Let s be a positive integer. Let B be an $m \times k$ matrix with entries 0, 1, ..., s. Suppose that any two rows of B coincide in at least l positions. The authors can prove that, for $s > s_0(l)$, $m \leq (s+1)^{k-l}$. They conjecture [3] that if s = k-1 and every row of B is a permutation of $\{0, 1, ..., k-1\}$ then $m \leq (k-l)!$ for $k \geq k_0(l)$. This was proved by Deza and Frankl in [5] for the following cases: l = 1, k arbitrary; l = 2, k = q; l = 3, k = q+1 where q is the power of a prime.

(4) It is possible to generalize Theorems 7 and 9 simultaneously, that is, for families of sets \mathscr{A} such that, for $i_1 < i_2 < \ldots < i_l$, $|A_{i_1}| \in K$,

$$|A_{i_1} \cap \ldots \cap A_{i_t}| \in L.$$

Such families are called quasi-block-designs by Sós in [17] where the problem of studying these objects was raised.

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