PAUL ERDŐS, ALEKSANDAR IVIĆ

ON THE ITERATES OF THE ENUMERATING FUNCTION OF FINITE ABELIAN GROUPS

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A b s t r a c t. The function $a^{(r)}(n)$, which represents the r-th iteration of a(n) (the number of non-isomorphic Abelian groups with n elements), is studied. Upper bounds for $a^{(r)}(n)$ are established, as well as the asymptotic formula for sums of K(n), where $K(n)=\min\{r;a^{(r)}(n)==1\}$. Connections with analogous problems for the iterations of d(n) (the number of divisors of n) are discussed.

1. Introduction

Let a(n) denote the number of non-isomorphic Abelian (commutative) groups with *n* elements. It is well known that (see [5]) a(n) is a multiplicative function of n (a(mn)=a(m)a(n) for coprime *m* and *n*) such that $a(p^k)=P(k)$ for every prime *p* and integer $k \ge 1$ (here and later *p*, p_1, p_2, \ldots denote primes), where P(k) is the number of unrestricted partitions of *k*. Hence P(1)=1, P(2)=2, P(3)=3, P(4)=5, and as $k \to \infty$

$$P(k) = (1+o(1)) (4\sqrt{3k})^{-1} \exp\{\pi(2k/3)^{1/2}\},\$$

which is a classical formula due to Hardy and Ramanujan (see [13], p. 240). The values of $a(p^k)$ do not depend on p but only on k, so that a(n) is a "primeindependent" multiplicative function satisfying a(p)=1 for every prime p. One can easily exhibit other integer valued arithmetic functions with similar properties, and one such function is S(n), the number of non-isomorphic finite semisimple rings with n elements (see [10]). Thus in what follows one could easily generalize the problems and results to a suitable class of non-negative, prime independent, integer valued multiplicative functions such that f(p)=1 for every prime p. However, in order to keep the exposition clear and simple, we shall deal only with the case f(n)=a(n). From known results on a(n) we mention

$$\limsup_{n \to \infty} \frac{\log a(n) \log \log n}{\log n} = \frac{\log 5}{4},$$
(1.2)

which was proved by E. Krätzel [12] $(\log n = \ln n \text{ is the natural logarithm of } n)$, and

$$\sum_{n \le x} a(n) = \sum_{m=1}^{3} A_m x^{1/m} + R(x), \ A_m = \prod_{k=1, k \ne m}^{\infty} \zeta(k/m).$$
(1.3)

Here ζ is the Riemann zeta-function, and R(x) is the error term in the asymptotic formula (1.3), for which the best published estimate $R(x) \ll x^{97/381} \log^{35}x$ is due to G. Kolesnik [11] (here and later $f(x) \ll g(x)$ and f(x) = O(g(x)) both mean $|f(x)| \le Cg(x)$ for $x \ge x_0$, and C, C_1, C_2, \ldots are some (unspecified) positive constants). For other recent results on a(n) the reader is referred to [6], [7], [9] and Ch. 14 of [8]. The aim of this paper is the study of the iterates of a(n). For any arithmetic function $f:N \to N$, and any integer $r \ge 1$ one can define

$$f^{(r)}(n) = \underbrace{f(f(\ldots f(n)\ldots))}_{r \text{ times}}$$

as the r-th iterate of f, so that in this notation $f^{(1)}(n) = f(n)$. If f(n) is multiplicative, then in general already $f^{(2)}(n)$ is not multiplicative, which makes the study of the iterates of multiplicative functions difficult. If $r \ge 2$ is fixed, then two among the most natural problems concerning $f^{(r)}(n)$ are the evaluation of sums of $f^{(r)}(n)$ and the determination of the maximal order of $f^{(r)}(n)$. In the case of $f(n)=d(n)=\sum_{ab=n}^{ab=n} 1$ (the number of divisors of n), these

problems were treated by Erdős and Kátai [2], [3]. In [3] it was proved that

$$\sum_{n \le x} d^{(r)}(n) = (1 + o(1))A_r x \log_r x \quad (A_r > 0, x \to \infty)$$
(1.4)

holds for r=4, which was shown earlier by I. Kátai to be true for r=2,3 also. An old conjecture of Bellman and Shapiro (see [1]) states that (1.4) holds for any fixed $r\geq 2$ ($\log_r x = \log(\log_{r-1} x)$ is the r-fold iterated logarithm). On the other hand, Erdős and Kátai proved in [2] that for every $\varepsilon > 0$

$$d^{(r)}(n) \ll \exp\left\{(\log n)^{1/l_r+\varepsilon}\right\}$$
(1.5)

and that

$$d^{(r)}(n) > \exp\left\{(\log n)^{1/l_r-\varepsilon}\right\}$$
(1.6)

holds for infinitely many n, which means that they have essentially determined the maximal order of $d^{(r)}(n)$. Here l_r is the *r*-th Fibonacci number: $l_{-1}=0$, $l_0=1$, $l_r=l_{r-1}+l_{r-2}$ for $r\geq 1$.

When one considers the above two problems for $a^{(r)}(n)$, then it turns out that the situation is in a certain sense opposite to the one for $d^{(r)}(n)$,

where (1.4) is known only for $r \le 4$, but (1.5) (up to " ϵ ") is the best possible. Namely, it was proved by A. Ivić [7] that

$$\sum_{n \le x} a(a(n)) = \sum_{n \le x} a^{(2)}(n) = Cx + O(x^{1/2} \log^4 x)$$
(1.7)

for a suitable C>0, and since trivially $a^{(r)}(n) \le a(n)$ the method of [7] obviously gives also

$$\sum_{n \le x} a^{(r)}(n) = B_r x + O(x^{1/2} \log^4 x) \qquad (B_r > 0)$$
(1.8)

for any fixed $r \ge 1$, which can be compared to (1.4). In particular, (1.8) shows that $a^{(r)}(n)$ possesses a positive mean value for any fixed $r\ge 1$, and the error term (uniform in r) in (1.8) is sharp. Thus this problem is satisfactorily resolved, but determining the maximal order of $a^{(r)}(n)$ turns out to be difficult. The methods of [2] which yield (1.5) seem to be of no avail here, and we are at present unable to determine precisely the maximal order of $a^{(r)}(n)$. There is, however, another problem involving $a^{(r)}(n)$ which is somewhat different from the corresponding problem for $d^{(r)}(n)$, and with which we can deal successfully. Since a(p)=1 and d(p)=2 for all primes p, it makes sense to define

$$k(n) = \min \{r: d^{(r)}(n) = 2\}$$

 $K(n) = \min \{r: a^{(r)}(n) = 1\}.$

The existence of both k(n) and K(n) is easily established, and in [3] it was shown that

$$0 < \limsup_{n \to \infty} \frac{k(n)}{\log \log \log n} < \infty.$$
(1.9)

It was noted in [3] that the summatory function of k(n) is very difficult to estimate. On the other hand, we shall establish in Th. 2 a sharp asymptotic formula for the summatory function of K(n), which implies that K(n) has a positive mean value. The upper bound in (1.9) remains true if k(n) is replaced by K(n). This will follow trivially from cur upper bound for $a^{(r)}(n)$ in Th. 1, but we are unable to determine whether the lim sup in question for K(n) is positive or (which seems to us to be more likely true) is equal to zero. We also think that

$$\limsup_{n \to \infty} K(n) = \infty, \tag{1.10}$$

but we are unable to prove (1.10) at present.

2. Statement of results

Before we formulate our results we note that there are many n for which a(a(n)) must be fairly large. Namely, let

$$n=(p_1p_2\ldots p_k)^2,$$

and

where p_j is the *j*-th prime number. Then,

$$a(n) = P^{k}(2) = 2^{k},$$

$$a(a(n)) = P(k) \gg \exp(Ck^{1/2}) \quad (C > 0),$$
(2.1)

which follows from (1.1). But the prime number theorem gives

$$\log n = 2 \sum_{p \le p_k} \log p = (2 + o(1))p_k = (2 + o(1))k \log k \quad (k \to \infty),$$

hence $k \ge \log n / \log_2 n$, and (2.1) implies that

$$a(a(n)) = a^{(2)}(n) \gg \exp\left(C_1(\log n/\log \log n)^{1/2}\right) \qquad (C_1 > 0) \tag{2.2}$$

holds for infinitely many integers *n*. Lower bounds for $a^{(r)}(n)$ for $r \ge 3$ are difficult to obtain, since very little is known about the structure of prime factors of P(k). The situation with upper bounds for $a^{(r)}(n)$ is better, and we shall prove

THEOREM 1. There is a constant B > 0 such that

$$a^{(2)}(n) = a(a(n)) \ll \exp\left\{\frac{B(\log n)^{7/8}}{(\log \log n)^{19/16}}\right\},$$
(2.3)

and if c_r is the constant defined by

$$\log a^{(r)}(n) \ll_r (\log n)^{\tilde{\gamma}}, \qquad (2.4)$$

then for $r \ge 3$

$$c_r \leq \frac{1}{2} c_{r-1} + \frac{3}{8} c_{r-2} \qquad \left(c_1 = 1, \ c_2 = \frac{7}{8}\right).$$
 (2.5)

As in § 1, let K(n) for a given n be the smallest r such that $a^{(r)}(n)=1$. Then we have

THEOREM 2. There is a constant E>1 such that for any given $\varepsilon > 0$

$$\sum_{n\leq x} K(n) = E_x + O(x^{1/2+\varepsilon}).$$
(2.6)

While the asymptotic formula (2.6) is sharp, the bounds for the constants c_r (defined by (2.4)) can probably be improved. In the proof of Th. 1 we shall use the upper bound

$$\omega\left(P\left(n\right)\right) \ll \frac{n^{1/2}}{\log n},\tag{2.7}$$

which is the immediate consequence of (1.1) and $\omega(n) \ll \log n / \log_2 n$, where as usual $\omega(n)$ denotes the number of distinct prime factors of n (and $\Omega(n)$)

is the number of all prime factors of n). Better bounds than (2.7) would lead to better results in Th. 1, and in particular we conjecture that

$$\omega(P(n)) \ll \log^C n \tag{2.8}$$

for some suitable C>0, which would give $c_2 \le 3/4$ in Th. 1. If true, (2.8) seems unattainable by present methods.

3. Upper bound estimates for iterates

In this section we shall prove Th. 1. The crucial element in the proof is the upper bound for $\omega(a(n))$, contained in the following

Lemma 1.

$$\omega(a(n)) \ll (\log n)^{3/4} (\log \log n)^{-11/8}. \tag{3.1}$$

Proof. Let $n = p_{j_1}^{\alpha_1} \dots p_{j_r}^{\alpha_r}$ $(r = \omega(n))$ be the canonical decomposition of n.

Then

$$a(n)=P(\alpha_1)\ldots P(\alpha_r),$$

and in bounding $\omega(a(n))$ we can suppose that the α_j 's are distinct, since $\omega(m^k) = \omega(m)$. If $S \ge 2$ is a parameter which will be determined later, then using (2.7) we obtain

$$\omega(a(n)) = \omega(\prod_{\alpha_i \leq S} P(\alpha_i) \prod_{\alpha_i > S} P(\alpha_i))$$

$$\leq \sum_{\alpha_i \leq S} \omega(P(\alpha_i)) + \sum_{\alpha_i > S} \omega(P(\alpha_i))$$

$$\ll \frac{S^{1/2}}{\log S} \sum_{\alpha_i \leq S} 1 + \sum_{\alpha_i > S} \frac{\alpha_i^{1/2}}{\log \alpha_i}$$

$$\ll \frac{S^{3/2}}{\log S} + \frac{1}{\log S} \left(\sum_{i=1}^r \alpha_i\right)^{1/2} \left(\sum_{\alpha_i > S} 1\right)^{1/2}$$

$$\ll \frac{S^{3/2}}{\log S} + \frac{1}{\log S} (\log n)^{1/2} \left(\sum_{\alpha_i > S} 1\right)^{1/2},$$

since

$$\sum_{i=1}^{r} \alpha_i = \Omega(n) \leq \frac{\log n}{\log 2}.$$

To estimate $R=R(S, n)=\sum_{\alpha_i>S} 1$, note that from $n=\prod_{i=1}^r p_{j_i}^{\alpha_i} \ge \prod_{\alpha_i>S} p_{j_i}^{\alpha_i}$

we have $(p_R \text{ is the } R\text{-th prime})$

$$\log n \geq S \sum_{p \leq p_R} \log p \gg SR \log R.$$

Hence for $\log^{A} n \ll S \ll \log^{B} n$ (0<A<B<1) we have

$$R = R(S, n) = \sum_{\alpha_i > S} 1 \ll \frac{\log n}{S \log \log n}.$$
(3.2)

Therefore we obtain

$$\omega (a (n)) \ll \frac{S^{3/2}}{\log S} + \frac{S^{-1/2} \log n}{\log S (\log \log n)^{1/2}} \\ \ll (\log n)^{3/4} (\log \log n)^{-11/8}$$

on taking

$$S = (\log n)^{1/2} (\log \log n)^{-1/4}$$

This ends the proof of Lemma 1, but we remark that our method can be used to bound $\omega(f(n))$ for a fairly wide class of prime-independent, integer-valued multiplicative functions. In particular, we obtain

$$\omega(d(n)) \ll \left(\frac{\log n}{\log_2 n \log_3 n}\right)^{1/2}.$$
(3.3)

Namely,

$$\omega (d (n)) = \omega (\prod_{\alpha_i \leq S} (\alpha_i + 1) \prod_{\alpha_i > S} (\alpha_i + 1))$$

$$\leq \omega (\prod_{\alpha_i \leq S} (\alpha_i + 1)) + \sum_{\alpha_i > S} \omega (\alpha_i + 1)$$

$$\ll \sum_{p \leq S+1} 1 + \sum_{\alpha_i > S} \log \alpha_i / \log_2 \alpha_i \ll S / \log S + \sum_{\alpha_i > S} \log_2 n / \log_3 n$$

$$\ll \frac{S}{\log S} + \frac{\log n}{S \log_3 n} \ll \left(\frac{\log n}{\log_2 n \log_3 n}\right)^{1/2}$$

on taking

$$S = \left(\frac{\log n \log_2 n}{\log_3 n}\right)^{1/2},$$

where we used again (3.2). Note that the order of d(d(n)) is closely related to the order of $\omega(d(n))$, since trivially

$$d(n) = \sum_{\delta|n} 1 \ge \sum_{\delta|n} \mu^2(\delta) = 2^{\omega(n)},$$

hence $\omega(n) \ll \log d(n)$, $\omega(d(n)) \ll \log d(d(n))$, and on the other hand

$$\log d(n) = \sum_{i=1}^{r} \log (\alpha_i + 1) \ll r \log \log n = \omega(n) \log \log n,$$

which then yields

$$\omega(d(n)) \ll \log d(d(n)) \ll \omega(d(n)) \log \log n.$$
(3.4)

We also remark that (3.3) remains valid if $d(n)=d_2(n)$ is replaced by $d_k(n)$ $(k\geq 2 \text{ fixed})$, which represents the number of ways *n* can be written as a product of *k* factors. This is a multiplicative function satisfying

$$d_k(p^{\alpha}) = \frac{(\alpha+1)\dots(\alpha+k-1)}{(k-1)!}$$

Having at our disposal Lemma 1, it is a fairly simple matter to prove (2.3) and (2.5). Namely, from (1.1) we have by the Cauchy-Schwarz inequality

$$a(n) = P(\alpha_1) \dots P(\alpha_r) < \exp\left\{C\sum_{i=1}^r \alpha_i^{1/2}\right\} < \exp(C(\omega(n)\Omega(n))^{1/2}). \quad (3.5)$$

It follows that

$$\begin{aligned} a(a(n)) &< \exp\left(C(\omega(a(n))\Omega(a(n))^{1/2}\right) \\ \ll &\exp\left(C_1\{(\log n)^{3/4}(\log_2 n)^{-11/8}(\log n/\log_2 n)\}^{1/2}\right) = \\ &= \exp\left(C_1(\log n)^{7/8}(\log_2 n)^{-19/16}\right), \end{aligned}$$

since using (1.2) we have

$$\Omega(a(n)) \leq \frac{\log a(n)}{\log 2} < \frac{\log n}{\log \log n}.$$

To prove (2.5) we use induction (trivially $c_1=1$ and $c_2 \le 7/8$ by (2.3)) and (3.5) with *n* replaced by $a^{(r-1)}(n)$. This gives by Lemma 1, for $r \ge 3$,

$$\begin{aligned} a^{(r)}(n) &= a(a^{(r-1)}(n)) < \exp\left(C\{\omega(a(a^{(r-2)}(n)))\Omega(a^{(r-1)}(n))\}^{1/2}\right) \\ &< \exp\left(C_1\{(\log a^{(r-2)}(n))^{3/4}\log a^{(r-1)}(n)\}^{1/2}\right) \\ &< \exp\left(C_2\left(\log n\right)^{(3c_{r-2}+4c_{r-1})/8}\right), \end{aligned}$$

where $C_2>0$ possibly depends on r. Hence (2.4) holds with c_r satisfying (2.5). We could have also obtained (2.4) with certain negative powers of \log_{2n} multiplying $(\log n)^{c_r}$, but this did not seem of great importance.

4. Proof of the asymptotic formula for iterates

In this section we shall prove the asymptotic formula (2.6) of Th. 2. The proof will use the following

Lemma 2. For $j \ge 1$ and $k \ge 2$ we have uniformly

$$\sum_{n \le x, a^{(j)}(n) = k} 1 = d_{j,k} x + O(x^{1/2} \log^2 x)$$

with suitable constants $d_{j,k} \ge 0$. Moreover for some suitable constants C_1 , C_2 , $C_3 > 0$

$$d_{j,k} \leq C_1 \exp\left(-C_2 \log\left(C_3 2^{jk}\right) \log\log\left(C_3 2^{jk}\right)\right). \tag{4.2}$$

Proof. For j=1 (4.1) and (4.2) reduce to a result proved by A. Ivić [7], so that we can suppose $j \ge 2$. Note that in the terminology of Ivić-Tenenbaum [9] the function $f(n)=a^{(j)}(n)$ $(j\ge 1$ fixed) is an s-function. This means that f(n)=f(s(n)), where s=s(n) is the squarefull part of n (s is squarefull if $p^2 \mid s$ whenever p is a prime such that $p \mid s$). Hence from [9] we have (4.1) with

$$0 \leq d_{j,k} = 6\pi^{-2} \sum_{s=1,at^{j,j}(s)=k}^{\infty} (s \prod_{p|s} (1+1/p))^{-1},$$
(4.3)

where summation is over all squarefull s (empty sum being understood as zero). Let s_1 be the smallest squarefull s for which $a^{(j)}(s) = k$ (if no st ch s_1 exists, then $d_{j,k}=0$). Using multiplicativity and the properties of the partition function we have $a(n) \le n^{1/2}$ for $n \ge n_0$, which combined with (1.2) gives, for $j \ge 2$,

$$k = a^{(j)}(s_1) \le (a(s_1)) 2^{1-j} \le C_0 \exp(C_1 2^{-j} \log s_1 / \log \log s_1).$$

$$s_1 \geq \exp\left(C_2 \log\left(\frac{2^{j}k}{C_0}\right) \log\log\left(\frac{2^{j}k}{C_0}\right)\right),$$

and

$$d_{j,k} \leq 6\pi^{-2} \sum_{s \geq s_1} s^{-1} \ll s_1^{-1/2} \leq C_1 \exp\left(-C_2 \log\left(C_3 2^{j_k}\right) \log\log\left(C_3 2^{j_k}\right)\right)$$

as asserted. Here we used the fact that the elementary formula

$$\sum_{s \le x_1} 1 = \frac{\zeta(3/2)}{\zeta(3)} x^{1/2} + O(x^{1/3})$$

gives by partial summation

$$\sum_{s\geq y} s^{-1} \ll y^{-1/2}.$$

A more careful argument, based on Th. 1, would give

$$d_{j,k} \leq C_1 \exp\left(-C_2(\log(k+1))^{C_3^j}\right) (C_3 = \sqrt{8/7}; C_1, C_2 > 0, k \geq 2, j \geq j_0).$$
 (4.4)

We pass now to the proof of Th. 2. We shall use only the weak bound $K(n) \leq \log n (n \geq n_0)$, although $K(n) \ll \log_3 n$ follows easily from Th. 1. We can write

$$\sum_{n \le x} K(n) = \sum_{1 \le k \le \log x} (\sum_{n \le x, K(n) = k} 1).$$
(4.5)

If K(n)=1, this means that n is squarefree. Hence

$$\sum_{n \leq x, K(n)=1} 1 = \sum_{n \leq x} \mu^2(n) = 6\pi^{-2} x + O(x^{1/2}).$$

Since a(n)=1 is equivalent to n being squarefree, this means that if K(n)=k $(k\geq 2)$, then we must have $a^{(k-1)}(n)=r$, r squarefree and r>1. Hence for k>2 Lemma 2 gives

$$\sum_{\substack{n \leq x, K(n) = k}} 1 = \sum_{\substack{n \leq x, a^{(k-1)}(n) = r, \ 1 < r = squarefree}} 1$$
$$= \sum_{2 \leq r \leq x^{\varepsilon}} \mu^2(r) (d_{k-1,r} x + O(x^{1/2} \log^2 x))$$
$$= \left(\sum_{r=2}^{\infty} \mu^2(r) d_{k-1,r}\right) x + O(x^{1/2+\varepsilon}),$$

with the error term uniform in k for any fixed $\varepsilon > 0$. Thus we obtain

$$\sum_{2 \le k \le \log x} \sum_{n \le x, K(n)=k} 1 = x \sum_{2 \le k \le \log x} \sum_{r=2}^{\infty} \mu^2(r) d_{k-1,r} + O(x^{1/2+\varepsilon})$$
$$= x \sum_{k=2}^{\infty} \sum_{r=2}^{\infty} \mu^2(r) d_{k-1,r} + O(x^{1/2+\varepsilon}) + O\left(x \sum_{j=k>\log x} \sum_{r=2}^{\infty} \mu^2(r) d_{k-1,r}\right).$$

Using (4.2) it is seen that the last double sum is majorized by

$$\sum_{k>\log x} \sum_{r=2}^{\infty} \exp\left(-C_2 \log\left(C_4 \, 2^k \, r\right) \log \log\left(C_4 \, 2^k \, r\right)\right)$$

$$\ll \exp\left(-C_5 \, 2^{\log x}\right) \sum_{r=2}^{\infty} \exp\left(-C_2 \log r \log \log\left(C_4 \, r\right)\right) \ll x^{-A}$$

for any fixed A > 0. Inserting the preceding estimates in (4.5) we obtain Th. 2 with

$$E = 6\pi^{-2} + \sum_{k=2}^{\infty} \sum_{r=2}^{\infty} \mu^{2}(r) d_{k-1,r}.$$

Trivially E > 1, since K(n) = 1 for squarefree n (which have density $6\pi^{-2}$) and K(n) > 2 for other n.

We conclude by making two remarks. If $0 \le F(n) \ll \exp(Cn)$, then our arguments would give an asymptotic formula analogous to (2.6) for the sum of F(K(n)). The second remark concerns the constant B_r in the asymptotic formula (1.8). It is easy to see that $\lim B_r = 1$, but it is also possible to show *r*→∞

that B_r converges very quickly to 1. Namely we have

$$x^{-1}\sum_{n\leq x} a^{(r)}(n) = x^{-1}\sum_{k\leq x^{z}} \sum_{n\leq x,a^{(r)}(n)=k} k,$$

hence using (4.1), (4.2) and letting $x \rightarrow \infty$ we obtain

$$B_r = \sum_{k=1}^{\infty} k \, d_{r,k}.$$

Similarly from

$$x^{-1}\sum_{n\leq x} 1 = x^{-1}\sum_{k\leq x\varepsilon} \sum_{n\leq x, a(r)(n)=k} 1$$

we obtain

$$1=\sum_{k=1}^{\infty}d_{r,k}.$$

Using then (4.4) we obtain

$$0 \le B_r - 1 = \sum_{k=2}^{\infty} (k-1) d_{r,k} \ll \exp\{-C_2 (\log 3)^{C^{\bullet}}\}$$
(4.6)

for $r \ge r_0$ and some C > 1, $C_2 > 0$. Presumably a lower bound for $B_r - 1$ analogous to (4.6) also holds for infinitely many r, but this does not seem easy to show. Perhaps even $B_r = 1$ for $r \ge r_1$ might be true; this would follow from (1.10).

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F. Erdős Mətematikai Kutató Intézete Reáltanoda utca 13—15 H-1053 Budapest V Hungary A. Ivić Katedra Matematike RGF-a Universiteta u Beogradu Djušina 7, 11000 Beograd Yugoslavia